

香港干諾道西 118 號 1101 室

Room 1101,118 Connaught Road West,Hong Kong.

**證券交易服務 Trade-related Services**

經紀佣金 Brokerage Commission	成交金額之 <b>0.25%</b> (最低收費港幣 80 元) <b>0.25% on Transaction Amount (Min Charge HK\$80)</b>
從價印花稅 Stamp Duty	每港幣 <b>1,000</b> 元成交金額收費港幣 <b>1</b> 元 (不足港幣 1,000 元亦作 1,000 元計) <b>HK\$1.00 for every HK\$1,000.00 of Transaction Amount (or part thereof)</b> - paid by both buyer & seller 買賣雙方均需繳付
交易徵費 Transaction Levy	證監會交易徵費 成交金額之 <b>0.0027%</b> <b>SFC Transaction Levy of Transaction Amount 0.0027%</b>
交易費 Trading Fee	聯交所交易費 成交金額之 <b>0.005%</b> <b>SEHK Trading Fee of Transaction Amount 0.005%</b>
交易費 (包括市場及中央結算有關徵費) Settlement Fee / CCASS Fee	成交金額之 <b>0.002%</b> (最低收費港幣 <b>2</b> 元 / 最高收費港幣 <b>100</b> 元) <b>0.002% on Transaction Amount (Min HK\$2.00 / Max HK\$100.00)</b>

**實物股票處理及交收服務 Scrip handling and settlement-related services**

交收指示 (即 SI) Settlement Instruction	每一提貨交收指示收取手續費港幣 <b>50</b> 元 <b>HK\$50.00 per Transaction (For Delivery Instructions)</b>
投資者交收指示 (即 ISI) Investor Settlement Instruction	每一提貨交收指示收取手續費港幣 <b>30</b> 元 <b>HK\$30.00 per Transaction (For Delivery Instructions)</b>
代客將交收指示轉遞予對手行家 Delivery of Settlement Instruction	每一交收指示收費港幣 <b>150</b> 元 <b>HK\$150.00 of each Settlement Instruction</b>
結算所存倉保管費 Custody Fee	免收 (已獲本公司豁免) <b>Free of charges (exempted by ZYSL)</b>
結算所入倉費 Stock Deposit Fee	免收 (已獲本公司豁免) <b>Free of charges (exempted by ZYSL)</b>
轉手紙厘印費 Transfer Stamp Duty Deed	每張轉手紙港幣 <b>5</b> 元 <b>HK\$5.00 for each transfer deed</b>
強制性補購股份費 Compulsory share buy-back fee	每次港幣 <b>300</b> 元 <b>HK\$300 per transaction</b>

**代理人及企業行動 Nominee services and corporate activities**

實物股份提取費 Stock Withdrawal Fee	每一買賣單位收取港幣 <b>3.5</b> 元及手續費 <b>50</b> 元 (不足一手亦當一手計) <b>HK\$3.50 per Board Lot (Odd Lots also charge HK\$3.50 each) &amp; HK\$50.00 Handling Charge</b>
代收股息 / 紅股 / 認股證 Dividend / Bonus / Warrants Collection Fee	現金股息之 <b>0.50%</b> (最低收費港幣 <b>25</b> 元)及過戶費每手港幣 <b>1.5</b> 元 <b>0.50% on Dividend Amount (Min HK\$25.00) &amp; Script Fee HK\$1.50 per lot</b> 股權分發 : 登記及過戶費每手 <b>1.5</b> 元及手續費港幣 <b>25</b> 元 Entitled Bonus: Script Fee <b>HK\$1.50 per lot &amp; HK\$25.00 Handling Charge</b>
行使換股證 / 供股權 Exercise Warrant / Right Issue / Open Offer	供股價、過戶處費用、中央結算所費用及手續費港幣 <b>50</b> 元 <b>HK\$50.00 for cost of exercise, Scrip Fee, ad valorem stamp duty, CCASS fee &amp; Handling Charges</b>

## 代理人及企業行動 Nominee services and corporate activities

在收購要約下提交股份費 Cash/Open Offer/Special/Conditional Offer	收購金額 <b>0.25%</b> (最低港幣 <b>80</b> 元) <b>0.25%</b> on gross offer amount (Minimum: HK\$80)
申請發還利息、紅股及股權等 Claims of Entitlement	追討成本及每次每種股票手續費港幣 <b>200</b> 元 Cost of claim plus HK\$200.00 per stock per claim
代客辦理過戶 (實貨) Registration and Transfer Fee of Physical Stock in Client's Name	每一買賣單位收取港幣 <b>2.5</b> 元及手續費港幣 <b>300</b> 元 Scrip Fee by Registration HK\$2.50 per lot & HK\$300.00 Handling Charge

## 借貸及其他服務 Financing and other services

認購新股 Application of IPO	現金申請：經人手處理申請收費港幣 <b>50</b> 元 融資申請：手續費港幣 <b>100</b> 元 信託費：按成功申請獲分配股票每買賣單位收取港幣 <b>10</b> 元 利息按個別股票及市場利率變化而定 Non-Financing: HK\$50.00 per application (manual) Financing: HK\$100.00 per application HK\$10.00 Custody fee per successful board lot Interest subject to case by case
股份合併/分拆 Consolidation / Subdivision	免費 Nil
配股 Placement	手續費港幣 <b>100</b> 元 Handling charges:HK\$100.00
現金帳戶過期利息 Cash account overdue interest	建設銀行 (亞洲) 最優惠利率 + <b>5%</b> Prime rate (quoted by CCB(Asia)) plus <b>5%</b>
電匯費用 Telegraph Transfer (T/T)	每單交易收費港幣 <b>150</b> 元 + 實質銀行收費 HK\$150 + Actual bank charge
終止支票付款費 Stop Payment Charge	每次港幣 <b>200</b> 元 HK\$200 per case
銀行本票收費 Bank draft	每次港幣 <b>50</b> 元 + 實質銀行收費 HK\$50 plus bank charge
退票 Returned Cheque	每一退票收費港幣 <b>200</b> 元 HK\$200.00 per each Returned Cheque

## 帳戶服務 ACCOUNT MAINTENANCE

證券結餘或帳戶證明書收費 Confirmation of stock/cash balance	如果是由核數師要求查帳免收費用，其他每次港幣 <b>100</b> 元 Nil if the confirmation is issued from auditors, others HK\$100
取回成交單或月結單收費 Photocopy of account statement/contract note	取回當月月結單免費，一年內收港幣 <b>100</b> 元，超過一年則收取港幣 <b>200</b> 元；取回三個月內成交單免費，三個月以上收港幣 <b>100</b> 元，超過一年則收取港幣 <b>200</b> 元 For duplicate copy of statement: free for the current month, HK\$100 for the previous months, HK\$200 for the required month beyond a period of 12 months For copy of contract note: free for the latest three months, HK\$100 if greater than three months, HK\$200 if greater than twelve months and thereafter

- 2005 年 12 月 19 日 起暫停 投資者賠償徵費 0.002% SFC Investor Compensation Levy 0.002%